



PTO/SB/21 (08-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | |
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| Total Number of Pages in This Submission | 45p&21 rfs | Application Number 10/684,508 |
| Filing Date | October 15, 2003 | |
| First Named Inventor | Livia POLANYI et al. | |
| Art Unit | 2644 | |
| Examiner Name | Not Yet Assigned | |
| | | Attorney Docket Number FX/A3010-317006 |

| ENCLOSURES (Check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Please see remarks. |
| Remarks | | |
| 1 Preliminary Amendment including 4 replacements sheets and 4 annotated sheets of drawings; 1 IDS Statement including 1 PTO/SB 08A (1449); 9 patent & 12 non-patent references; and 1 Postcard Filing Receipt. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-------------------------|---|--|--|
| Firm or Individual name | Christian Austin-Hollands (Reg. No. 46,527) Customer No. 31011 | | |
| Signature | | | |
| Date | 30-Jul-2004 | | |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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|-----------------------|---------------------------|------|---------------|
| Typed or printed name | Christian Austin-Hollands | | |
| Signature | | Date | July 30, 2004 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/684,508
Applicants : Livia POLANYI et al.
Filed : October 15, 2003
TC/A.U. : 2644
Examiner : NOT YET AVAILABLE
Docket No. : FX/A3010-317006
Customer No. : 31011

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form. It is respectfully submitted that the references and information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), OR (b) before the mailing date of a first Office Action on the merits. No certification or fee is required.

2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0037 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). (A copy of this letter is attached.)

3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; AND

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); OR

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c), more than three months prior to the filing of this Information Disclosure Statement 37 C.F.R. 1.97(e)(2).

4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0037 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). (A copy of this letter is attached.) AND

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); OR

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from

a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c), more than three months prior to the filing of this Information Disclosure Statement 37 C.F.R. 1.97(e)(2).

- 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that was not in the English language is either enclosed herewith, or incorporated in the application specification.
- 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. _____, filed _____, which is relied upon under 35 U.S.C. § 120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- 8. A copy of each copending application recited in the parent

application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

Respectfully submitted,



Christian Austin-Hollands

Registration No. 46,527

Date: July 30, 2004

**CHRISTIAN AUSTIN-HOLLANDS ESQ.
P.O. Box 170325
San Francisco, CA 94117
Telephone: (415) 762.9543**

DEPOSIT ACCOUNT USE

AUTHORIZATION

Please grant any extension

necessary for entry;

charge any fee due to

Deposit Account No. 50-3023



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3

| | |
|------------------------|----------------------|
| Application Number | 10/684,508 |
| Filing Date | October 15, 2003 |
| First Named Inventor | Livia POLANYI et al. |
| Art Unit | 2644 |
| Examiner Name | NOT YET AVAILABLE |
| Attorney Docket Number | FX/A3010 / 317006 |

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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|--------------------|-------------------|-----------------|------------|
| Examiner Signature | /Michael Colucci/ | Date Considered | 05/30/2009 |
|--------------------|-------------------|-----------------|------------|

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449/PTO | | Complete if Known | | | |
| | | Application Number | 10/684,508 | | |
| | | Filing Date | October 15, 2003 | | |
| | | First Named Inventor | Livia POLANYI et al. | | |
| | | Art Unit | 2644 | | |
| | | Examiner Name | NOT YET AVAILABLE | | |
| Sheet | 2 | of | 3 | Attorney Docket Number | FX/A3010 / 317006 |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|--|-----------------------|---|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | |
| /M.C./ | | JING, H., MCKEOWN, K., "Cut and Paste Based Text Summarization, in Proceedings of the North American Association for Computational Linguistics, 2000. | | |
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| ↓ | | L. POLANYI et al., "System and Method for Teaching Writing Using Microanalysis of Text", U.S. Patent Application 09/609,325. 2005 | | |

| | | | |
|--------------------|-------------------|-----------------|------------|
| Examiner Signature | /Michael Colucci/ | Date Considered | 05/30/2009 |
|--------------------|-------------------|-----------------|------------|

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| | | First Named Inventor | Livia POLANYI et al. |
| | | Art Unit | 2644 |
| Examiner Name | NOT YET AVAILABLE | | |
| Sheet | 3 | of | 3 |
| | | Attorney Docket Number | |
| | | FX/A3010 / 317006 | |

NON PATENT LITERATURE DOCUMENTS

| | | | |
|-------------------------------|-------------------|----------------------------|------------|
| Examiner Signature | /Michael Colucci/ | Date Considered | 08/30/2007 |
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